



PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitutes for form 1449/PTO Modified	Complete if Known
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number 10/766,698
	Confirmation No.: 2084
	Filing Date: January 28, 2004
	First Named Inventor: Wallin, et al.
	Art Unit: 2186
	Examiner Name: Unknown
Sheet 1 of 4	Attorney Docket Number: 5681-62001

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code-Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Check if English Translation is attached
						<input type="checkbox"/>
						<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, (page(s), volume-issue number(s), publisher, city and/or country where published.	Check if English Translation is attached
MB	A1	ANANT AGARWAL, JOHN HENNESSY, and MARK HOROWITZ; Cache Performance of Operating System and Multiprogramming Workloads; Transactions on Computer Systems (TOCS); 1988; Vol. 6, No. 4; pps 393 - 431.	<input type="checkbox"/>
MB	A2	ALAN CHARLESWORTH; The Sun Fireplane System Interconnect; 2001 Conference on Supercomputing; 2001; 14 pages; Denver CO, U.S.A.	<input type="checkbox"/>
MB	A3	TIEN-FU CHEN and JEAN-LOUP BAER; A Performance Study of Software and Hardware Data Prefetching Schemes; International Symposium on Computer Architecture; 1994; pps 223 - 232.	<input type="checkbox"/>
MB	A4	FREDRIK DAHLGREN, MICHEL DUBOIS and PER STENSTROM; Sequential Hardware Prefetching in Shared-Memory Multiprocessors; IEEE Transactions on Parallel and Distributed Systems; 1995; Vol. 6 No. 7; pps 733 - 746.	<input type="checkbox"/>
MB	A5	FREDRIK DAHLGREN and PER STENSTROM; Evaluation of Hardware-Based Stride and Sequential Prefetching in Shared-Memory Multiprocessors; IEEE Transactions on Parallel and Distributed Systems; 1996; Vol. 7, No. 4; pps 385 - 398.	<input type="checkbox"/>
MB	A6	MICHEL DUBOIS, JONAS SKEPPSTEDT, LIVIO RICCIULLI, KRISHNAN RAMAMURTHY, and PER STENSTROM; The Detection and Elimination of Useless Misses in Multiprocessors; International Symposium on Computer Architecture; 1993; pps 88 - 97.	<input type="checkbox"/>

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

Examiner Signature	Matthew Bradley	Date Considered	9/3/06
--------------------	-----------------	-----------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. ¹Applicant's unique citation designation number (optional).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO Modified	Complete if Known
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	Application Number 10/766,698
	Confirmation No.: 2084
	Filing Date: January 28, 2004
	First Named Inventor: Wallin, et al.
	Art Unit: 2186
	Examiner Name: Unknown
Sheet 2 Of 4	Attorney Docket Number: 5681-62001

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, (page(s), volume-issue number(s), publisher, city and/or country where published.	Check if English Translation is attached
MB	A7	SUSAN J. EGGERS and TOR E. JEREMIASSEN; Eliminating False Sharing. 1991 International Conference on Parallel Processing; 1991; pps 377 – 381.	<input type="checkbox"/>
MB	A8	SUSAN J. EGGERS and RANDY H. KATZ; The Effect of Sharing on the Cache and Bus Performance of Parallel Programs. International Conference on Architectural Support for Programming Languages and Operating Systems; 1989; pps 257 – 270.	<input type="checkbox"/>
MB	A9	FREDRIK DAHLGREN and PER STENSTROM; Performance Evaluation and Cost Analysis of Cache Protocol Extensions for Shared-Memory Multiprocessors; IEEE Transactions on Computers; 1998; Vol. 47, No. 10; pps 1041 – 1055.	<input type="checkbox"/>
MB	A10	M. J. GARZARAN, J. L. BRIZ, P. E. IBANEZ, and V. VINALS; Hardware Prefetching in Bus-Based Multiprocessors: Pattern Characterization and Cost-Effective Hardware; Parallel and Distributed Processing; 2001, pages 345 – 354.	<input type="checkbox"/>
MB	A11	JAMES R. GOODMAN; Using Cache Memory to Reduce Processor-Memory Traffic; In 25 Years of the International Symposia on Computer Architecture (selected papers); 1998; pps 255 – 262.	<input type="checkbox"/>
MB	A12	ANOOP GUPTA and WOLF-DIETRICH WEBER; Cache Invalidation Patterns in Shared-Memory Multiprocessors. IEEE Transactions on Computers; 1992; Vol. 41, No. 7; pps 794 – 810.	<input type="checkbox"/>
MB	A13	MARTIN KARLSSON, KEVIN MOORE, ERIK HAGERSTEN, and DAVID A. WOOD; Memory System Behavior of Java-Based Middleware; Ninth Annual International Symposium on High-Performance Computer Architecture (HPCA-9); 2003; 12 pages.	<input type="checkbox"/>
MB	A14	DAVID M. KOPPELMAN; Neighborhood Prefetching on Multiprocessors Using Instruction History; International Conference on Parallel Architectures and Compilation Techniques; 2000; pps 123 – 132.	<input type="checkbox"/>
MB	A15	SANJEEV KUMAR and CHRISTOPHER WILKERSON; Exploiting Spatial Locality in Data Caches using Spatial Footprints; International Symposium on Computer Architecture; 1998; pps 357 – 368.	<input type="checkbox"/>
MB	A16	PETER S. MAGNUSSON, MAGNUS CHRISTENSSON, JESPER ESKILSON, DANIEL FORSGREN, GUSTAV HALLBERG, JOHAN HOGBERG, FRÉDRIK LARSSON, ANDREAS MOESTEDT, and BENGT WERNER; Simics: A Full System Simulation Platform; IEEE Computer, 2002; Vol. 35 No. 2; pps 50 – 58.	<input type="checkbox"/>

Examiner Signature	Matthew Bradley	Date Considered	9/30/06
--------------------	-----------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. ¹Applicant's unique citation designation number (optional).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO Modified	Complete if Known
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	Application Number: 10/766,698
	Confirmation No.: 2084
	Filing Date: January 28, 2004
	First Named Inventor: Wallin, et al.
	Art Unit: 2186
	Examiner Name: Unknown
Sheet 3 Of 4	Attorney Docket Number: 5681-62001

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, (page(s), volume-issue number(s), publisher, city and/or country where published.	Check if English Translation is attached
MB	A17	TODD MOWRY and ANOOP GUPTA; Tolerating Latency Through Software-Controlled Prefetching in Shared-Memory Multiprocessors; Journal of Parallel and Distributed Computing; 1991; Vol. 12, No. 2; pps 87 – 106.	<input type="checkbox"/>
MB	A18	TODD C. MOWRY; Tolerating Latency in Multiprocessors through Compiler-Inserted Prefetching. Transactions on Computer Systems (TOCS); 1998; Vol. 16, No. 1; pps 55 – 92.	<input type="checkbox"/>
MB	A19	STEVEN PRZYBYLSKI; The Performance Impact of Block Sizes and Fetch Strategies; International Symposium on Computer Architecture; 1990; pps 160 – 169.	<input type="checkbox"/>
MB	A20	ANDRE SEZNEC; Decoupled Sectored Caches: conciliating low tag implementation cost and low miss ratio; 21 st Annual International Symposium on Computer Architecture; 1994; pps 384 – 393.	<input type="checkbox"/>
MB	A21	JOSEP TORRELLAS, MONICA S. LAM, and JOHN L. HENNESSY; False Sharing and Spatial Locality in Multiprocessor Caches; IEEE Transactions on Computers; 1994; Vol. 43, No. 6; pps 651 – 663.	<input type="checkbox"/>
MB	A22	DEAN M. TULLSEN and SUSAN J. EGGERS; Effective Cache Prefetching on Bus-Based Multiprocessors; Transactions on Computer Systems (TOCS); 1995; Vol. 13, No. 1; pps 57 – 88.	<input type="checkbox"/>
MB	A23	DEAN M. TULLSEN and SUSAN J. EGGERS; Limitations of Cache Prefetching on Bus-Based Multiprocessor; 20 th Annual International Symposium on Computer Architecture; 1993; pps 278 - 288.	<input type="checkbox"/>
MB	A24	STEVEN CAMERON WOO, MORIYOSHI OHARA, EVAN TORRIE, JASWINDER PAL SINGH, and ANOOP GUPTA; The SPLASH-2 Programs: Characterization and Methodological Considerations; 22nd Annual International Symposium on Computer Architecture; 1995; pps 24 – 36.	<input type="checkbox"/>
MB	A25	ALEXANDER V. VEIDENBAUM, WEIYU TANG, and RAJESH GUPTA; "Adapting Cache Line Size to Application Behavior"; In Proceedings of the 13 th International Conference on Supercomputing; 1999; pps 145 – 154.	<input type="checkbox"/>
MB	A26	http://ecperf.theserverside.com/ecperf/ ; The publication date of this internet web page predates the filing date of the current application.	<input type="checkbox"/>
MB	A27	http://www.spec.org/jAppServer2001/press_release.html ; The publication date of this internet web page predates the filing date of the current application.	<input type="checkbox"/>
MB	A28	http://www.spec.org/jbb2000/ ; The publication date of this internet web page predates the filing date of the current application.	<input type="checkbox"/>
MB	A29	TIEN-FU CHEN and JEAN-LOUP BAER; An Effective On-Chip Preloading Scheme to Reduce Data Access Penalty; In Proceedings of Supercomputing, 1991; pps 176 – 186.	<input type="checkbox"/>

Examiner Signature	Matthew Bradley	Date Considered	9/30/06
--------------------	-----------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. ¹Applicant's unique citation designation number (optional).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO Modified	Complete if Known
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	Application Number: 10/766,698
	Confirmation No.: 2084
	Filing Date: January 28, 2004
	First Named Inventor: Wallin, et al.
	Art Unit: 2186
	Examiner Name: Unknown
Sheet 3 Of 4	Attorney Docket Number: 5681-62001

NON PATENT LITERATURE DOCUMENTS			
MB	A30	EDWARD H. GORNISH; Adaptive and Integrated Data Cache Prefetching For Shared-Memory Multiprocessors; PhD thesis, University of Illinois at Urbana-Champaign; 1995; pps1-150	<input type="checkbox"/>
MB	A31	ERIK HAGERSTEN; Toward Scalable Cache-Only Memory Architectures; PhD thesis, Royal Institute of Technology, Stockholm; 1992; pps1-262	<input type="checkbox"/>
MB	A32	ASHOK SINGHAL et al.; A High Performance Bus for Large SMPs; In Proceedings of IEEE Hot Interconnects; 1996	<input type="checkbox"/>
MB	A33	M.K. TCHEUN, H. YOON, and S.R. MAENG; An Adaptive Sequential Prefetching Sequential Prefetching Scheme in Shared-Memory Multiprocessors; Department of Computer Science and Technology (KAIST); 1997; pps306-313	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	Matthew Bradley	Date Considered	9/21/06
--------------------	-----------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. 'Applicant's unique citation designation number (optional).